

Practitioner's Docket No. LE9-00-045



#3  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Cook, Sherry Anderson; Luciano, Joseph Wade; Moore, John Anthony; Satanek, Brandon Lynn; and Ward, James Alan

Application No.: 09/610.081

Group No.: 2853

Filed: July 5, 2000

Examiner:

For: Printer Apparatus With Integrated Graphical User Interface and Method For Using the Same

**Box Missing Part**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION**

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed September 6, 2000.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

**DECLARATION OR OATH**

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application. Attached is a Statement by a registered attorney that the application filed in the PTO is the

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

☒ deposited with the United States Postal Service with sufficient postage as express mail Post Office to addressee in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. Express Mail No. EK195232963US

**FACSIMILE**

☐ transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_ - \_\_\_\_.

Date: 11-06-00

Maria Perdomo  
Signature  
Maria C. Perdomo  
(type or print name of person certifying)

application that the inventor executed by signing the declaration.

### **COMPLETION FEES**

#### **III. 1. Surcharge Fees**

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$130.00
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2. Assignment (See "ASSIGNMENT COVER SHEET")	\$40.00
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Total Completion Fees	\$170.00
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### **EXTENSION OF TIME**

**IV.** The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

### **TOTAL FEE DUE**

**V.** The total fee due is:

Completion fees	\$170.00
Extension fee (if any)	\$0.00
Total Fee Due	\$170.00

### **PAYMENT OF FEES**

**VI.** Charge Account No. 12-1213 in the amount of 170.00.

A duplicate of this request is attached.

Please charge Account No. 12-1213 for any fees that may be due by this paper.

**AUTHORIZATION TO CHARGE ADDITIONAL FEES**

**VII.** The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 12-1213.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

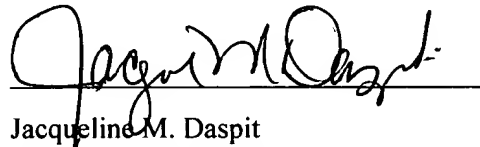
37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims)

37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))

Date: \_\_\_\_\_

6-10-00



Jacqueline M. Daspit  
Registration No. 36,779  
Lexmark International, Inc.  
Intellectual Property Law Department  
740 West New Circle Road  
Lexington, KY 40550  
US  
859-258-9434  
Customer No. 021972



#3

PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL****for FY 2000**

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

**TOTAL AMOUNT OF PAYMENT**

(\$)/70.00

**Complete if Known**

Application Number	09/610,081
Filing Date	July 5, 2000
First Named Inventor	Sherry Anderson Cook
Examiner Name	
Group / Art Unit	2853
Attorney Docket No.	LE9-00-045

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 12-1213

Deposit Account Name Lexmark International, Inc.

- ☒
- Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

**SUBTOTAL (1)** (\$) 0.00**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
22	-20** =	18	
3	-3** =	80	
			0

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$)**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	0.00
139 130	139 130	Non-English specification	0.00
147 2,520	147 2,520	For filing a request for reexamination	0.00
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00
115 110	215 55	Extension for reply within first month	0.00
116 380	216 190	Extension for reply within second month	0.00
117 870	217 435	Extension for reply within third month	0.00
118 1,360	218 680	Extension for reply within fourth month	0.00
128 1,850	228 925	Extension for reply within fifth month	0.00
119 300	219 150	Notice of Appeal	0.00
120 300	220 150	Filing a brief in support of an appeal	0.00
121 260	221 130	Request for oral hearing	0.00
138 1,510	138 1,510	Petition to institute a public use proceeding	0.00
140 110	240 55	Petition to revive - unavoidable	0.00
141 1,210	241 605	Petition to revive - unintentional	0.00
142 1,210	242 605	Utility issue fee (or reissue)	0.00
143 430	243 215	Design issue fee	0.00
144 580	244 290	Plant issue fee	0.00
122 130	122 130	Petitions to the Commissioner	0.00
123 50	123 50	Petitions related to provisional applications	0.00
126 240	126 240	Submission of Information Disclosure Stmt	0.00
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
		Other fee (specify)	0.00
		Other fee (specify)	0.00

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) 170.00**SUBMITTED BY**

Name (Print/Type) Jacqueline M. Daspit

Registration No. (Attorney/Agent) 36,779

**Complete (if applicable)**

Telephone 859-258-9434

Signature

Date

**WARNING:**

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3

Practitioner's Docket No. LE9-00-045

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Cook, Sherry Anderson; Luciano, Joseph Wade; Moore, John Anthony; Satanek, Brandon Lynn; and Ward, James Alan

Application No.: 09/610,081

Group No.: 2853

Filed: 07/05/2000

Examiner:

For: Printer Apparatus With Integrated Graphical User Interface and Method For Using the Same

Assistant Commissioner for Patents  
Washington, D.C. 20231

STATEMENT BY PRACTITIONER THAT APPLICATION FILED IN PTO  
IS THE ONE INVENTOR EXECUTED BY SIGNING DECLARATION



CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING



deposited with the United States Postal Service with sufficient postage as express mail Post Office to addressee in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. Express Mail No. EK195232963US

Date: 11-06-00

FACSIMILE



transmitted by facsimile to the Patent and Trademark Office.

Signature

Maria Perdomo

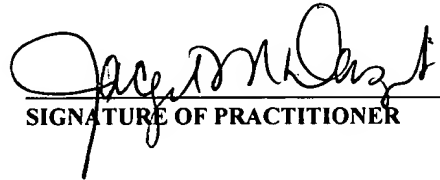
Maria C. Perdomo

(type or print name of person certifying)

I,

Jacqueline M. Daspit  
Intellectual Property Law Department  
740 West New Circle Road  
Lexington, KY 40550  
USA  
Reg. No. 36,779  
Tel. No. 859-258-9434

state I am the registered practitioner for this application and the application identified above is the application that the inventor(s) executed by signing the declaration that is being submitted herewith.

  
SIGNATURE OF PRACTITIONER

Customer No.: 021972


 11-07-00  
 OIPE  
 NOV 06 2000  
 UNITED STATES PATENT AND TRADEMARK OFFICE

SECTOR \$

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/610,081	07/05/2000	James Alan Ward	LE9-00-045

 21972  
 LEXMARK INTERNATIONAL INC  
 INTELLECTUAL PROPERTY LAW DEPARTMENT  
 740 WEST NEW CIRCLE ROAD  
 LEXINGTON, KY 40550

## FORMALITIES LETTER



\*OC00000005377404\*

Date Mailed: 09/06/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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A copy of this notice **MUST** be returned with the reply.

 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/06/2000 WKORDMA 00000097 121213 09610081

130.00 CH